(54) Title: A SYSTEM FOR SECURE COMMUNICATION

(57) Abstract: A system for secure communication across a communication network including a personal code generation means (12) having one or more identification codes (20) and one or more encryption codes (22). The identification codes (20) and the encryption codes (22) each change at predetermined time intervals. A code server (16) is provided and is synchronised with the personal code generation means (12) such that the code server (16) has information regarding the current identification codes (20) and the current encryption codes (22) of the personal code generation means (12). A user transmits across the communication network, the current identification codes (20) and data encrypted with the current encryption codes (22) and the code server (16) uses the information regarding the current identification codes (20) to authenticate the user and the information about the current encryption codes (22) to decrypt the transmitted data.

TITLE

"A SYSTEM FOR SECURE COMMUNICATION"

The present invention relates to a system for securely transmitting information across

5   a communication network, such as the Internet.

Despite the growing number of people and organisations conducting commercial transactions over the Internet, there are still significant concerns regarding the security of such transactions that limit the potential growth of e-commerce on the Internet. While measures are available to provide various levels of security to data

10   transmitted over the Internet, these measures generally have drawbacks in terms of the costs, ease of use and the use of computer resources to provide high levels of security.

The current standard for secure network transmission is Secure Socket Layers (SSL) which uses Public Key Cryptography. Public key cryptography involves the use of a pair of keys, being a public key and a private key. Any data encrypted by one key

15   requires the other key for decryption. This type of encryption is referred to as asymmetric encryption. In symmetric encryption, the same key is used for both encryption and decryption. Asymmetric encryption has the advantage that the sender and receiver of data do not need to have shared the encryption key prior to the communication. The owner publishes the details of the public key and keeps the

20   details the private key secure. Others can send information encrypted with the public key to the owner knowing that only the owner can decrypt it as they alone have the private key. Also the owner can encrypt information with the private key and send this to others. If this information can be decrypted by the public key then the person who decrypts knows it came from the owner of the private key.

It is known however, that for a required encryption strength, keys of greater length are required when using asymmetric encryption in comparison to symmetric key encryption. The longer keys mean more computation and asymmetric encryption is therefore often used to simply send a known key which is then used for symmetric

5   encryption of the data.

With regard to faceless electronic communications there are generally 4 security issues that need to be addressed. These issues are :

Authentication - A means to confirm that the user is who he says he is.

Privacy - A means to ensure that the communication is private and difficult to

10   eavesdrop upon.

Integrity - A means to ensure that the communicated data cannot be tampered with or corrupted.

Non-repudiation - A means to ensure confirmation or authorisation of the transaction so that the user can not deny responsibility for the transaction at a later date.

15   Most security systems only deal with two of these issues, namely Authentication and Privacy. The last two issues are more difficult to overcome, with the latter being the most difficult. In order to deal with all four of these issues, it will generally be required to integrate various technologies which deal with specific issues, which is expensive and therefore not available to all users.

20   The present invention provides a system for communicating securely over a communication network, such as the Internet, which attempts to address the above mentioned issues effectively and economically.

In accordance with one aspect of the present invention there is provided a system for secure communication across a communication network comprising:

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a personal code generation means having one or more identification codes and one or more encryption codes, the identification and encryption codes being arranged to change at predetermined time intervals; and

a code server synchronised with the personal code generation means such that the

5   code server has information regarding the or each current identification code and the or each current encryption code of the personal code generation means;

wherein a user transmits across the communication network, the or each current identification code of the personal code generation means and data encrypted with the or each current encryption code of the personal code generation means and the code

10   server uses the information regarding the or each current identification code to authenticate the user and the information about the or each current encryption code to decrypt the transmitted data.

The present invention will now be described, by way of example, with reference to the accompanying drawings, in which:

15   Figure 1 is a representation of personal tokens for use with a system for secure communication in accordance with the present invention;

Figure 2 is a representation of personal token and corresponding code server for use with the system for secure communication;

Figure 3 is a representation of a system for secure communication in accordance with

20   the present invention implemented on a communication network;

Figure 4 is a representation of an alternative embodiment of a system for secure communication in accordance with the present invention implemented on a communication network; and

Figure 5 is a representation of personal tokens having a plurality of encryption codes for use with the system for secure communication.

Referring to the Figures, there is shown a system for secure communication 10 across a communication network 14. The communication network 14 may be a publicly
5   accessible network, such as the Internet, or a private network.

The system for secure communication 10 includes a code generation means in the form of a personal token 12. In the embodiment shown in the Figures, the personal token 12 is provided in a physical form such that it is easily carried by a user. The personal token 12 may, for example, be in the form of a pendant 17 or card 18. In a
10   further embodiment, the personal token 12 may be provided as software functioning as a virtual device on a computer terminal.

The personal token 12 is arranged to generate and/or store information including one or more identification codes 20 that are valid at any point in time and one or more encryption codes 22 that are valid at any point in time. In the embodiment shown in
15   the drawings, the personal token 12 generates and/or stores one current identification code 20 and one current encryption code 22. The personal token may include one or more display means 24 on which the current identification code 20 and the current encryption code 22 can be displayed.

The personal token 12 is provided with a suitable processing means which is capable
20   of changing the identification code 20 and the encryption code 22 at predetermined time intervals. The identification code 20 and encryption code 22 would change randomly. Also, the time intervals at which the changes occur may not be regular.

While the personal token 12 may be a single device which operates as described above, the function of the personal token 12 may be achieved by a combination of

devices. For example, it is envisaged that a conventional 'Smartcard' and the user's terminal may act as the personal token 12. As smartcards are readily available, it may be desired to use such devices as the personal token 12. However, these devices do not have a permanent power source or a timing device and are therefore not able to

5   perform the function of the personal token 12 alone.

In this case, the smartcard would be provided with an initialisation code for each of the identification and encryption codes, when first set up by the code server 16. The code server 16 is arranged to generate the changing identification and encryption codes by using an algorithm which is a function of the initialisation codes and a

10   reference clock. Further, the user's computer is provided with software which is also able to generate the changing identification an encryption codes once provided with the initialisation code, using the same reference clock.

In one embodiment, the code server generates a first initialisation code and a second initialisation code for each of the identification and encryption codes when the

15   smartcard is set up. The first initialisation codes are provided to the smartcard and both the first and second initialisation codes are stored by the code server. When the user wishes to use the system, the user's computer is provided with the first initialisation codes, which is retransmitted to the code server 16. The code server 16 in response transmits only the second initialisation codes back to the user's computer,

20   which then uses a predefined algorithm to combine the first and second initialisation codes into the final initialisation codes. The final initialisation codes are then used as mentioned above to generate the changing identification and encryption codes.

The secure communication system 10 is also provided with one or more code servers 16 to service a plurality of personal tokens 12. The code server 16 is in the form of a

suitable computer connected to the communication network 14. The code server 16 is arranged to generate and/or store information about each of the personal tokens 12 that are serviced by the code server 16, including the identification code 20 and encryption code 22. The code server 16 is arranged to be synchronised with each of

5   the personal tokens 12 before use of the personal token 12 is commenced by the user. Following synchronisation, the code server 16 will have information about the personal token 12 such that the code server 16 is able to determine the current identification code 20 and encryption code 22 of the personal token 12 at any instant in time.

10   The user of the personal token 12 is also provided with a Username and/or Password associated with the personal token 12. Either a username or password or both may be provided associated with the personal token 12 but in the embodiment described, both are provided. As the identification code 20 and encryption code 22 are available to the holder of the personal token 12, loss of the personal token 12 by the user could result

15   in a breach of security. Information regarding the username and password for the personal token 12 is stored by credit card server 28 but is not stored by the personal token 12. In this way, unauthorised use of the personal token 12 is restricted. The functions of the credit card company server 28 and the code server 16 may be integrated into a single server if required (not shown).

20   Figure 3 shows an example of the system for secure communication 10 in use on a communication network 14. In this example, a user operating a user terminal 24 requires to communicate securely with a merchant's server 26 in order to make a purchase. The merchant's server 26 requires to communicate securely with a credit card company server 28 in order to determine if credit card details provided by the

user are valid for the purposes of the present purchase. In the embodiment shown in Figure 3, the credit card company has a code server 16 connected securely, for example by a private connection, to the credit card company server 28.

Each of the user terminal 24, the merchant server 26 and the Credit Card company server 28 is provided with encryption software capable of encrypting data to be transmitted over the communication network 14 using an encryption code as the key. In use, when the user wishes to transmit his credit card details to the merchant's server 26, he enters the credit card details into the user terminal 24 along with his username and password. The credit card details are then encrypted by the encryption software using the current encryption code 22 of the personal token 12 which is provided to the encryption software by the user. The encrypted information is then transmitted along with the username and password and the current identification code 20 displayed on the personal token 12 to the merchant's server 26 over the communication network 14. The current encryption code 22 is not transmitted across the communication network 14.

While in the present embodiment, the information generated and/or stored on the personal token 12 is displayed for viewing and then entered manually into the user terminal 24, the personal token 12 may be provided with a communication port (not shown) arranged to connect directly to the user terminal and provide the identification code 20 and encryption code 22 to the user terminal via the communication port.

As it is possible that the user may make an error in entering the codes if entering manually, it may be necessary in this case to perform a checking step, prior to transmission of the encrypted communication. If, for example, the user were to enter the correct identification code 20 but the wrong encryption code, the authentication of

the user would be correct and transmission could proceed, however the communication would fail due to the encryption codes 22 not matching. In the above mentioned checking step, one-way hashes of the identification code 20 and the encryption code 22 would be sent to the code server 16 which would compare these

5   values with one-way hashes of the identification and encryption codes 16 on the server. If the results differed, the code server 16 could advise the user that an error had been made. Otherwise, communication could proceed.

The merchant's server 26 receives the username and password, the current identification code 20 and the encrypted information from the user terminal 24 and re-

10   transmits to the credit card company server 28 for validation of the username and password against the current identification code 20 and against the credit card details. The credit card company server 28, against the username and password received, requests from the code server 16 its corresponding identification code and verifies that it matches the current identification code 20 received. Following this

15   authentication verification, the credit card company server 28 requests from the code server 16 its corresponding decryption code, which should be the same as the encryption code 22 for the time the information was encrypted and therefore be able to decrypt the information. The decrypted information, decrypted in the credit card company server 28 is compared against the valid credit card details and the

20   merchant's server 26 is advised, via the communication network 14, whether the transaction may proceed. In the event that any of the steps of verification fail, the user will be notified via the communication network 14 that the transaction cannot proceed and may be requested to enter all the required details again.

While this example shows only transmission of information from the user to the location of the credit card company server 28 and its code server 16, information being transmitted in the opposite direction could be transmitted using the same means. That is, if information needs to be transmitted across the communication network 14

5   to the user from the credit card company, the identity of the credit card company is authenticated with the current identification code 20 provided by the code server 16 and the information would be encrypted with the current encryption code 22 provided by the code server 16. The user could then decrypt the information by providing the encryption code 22 from the personal token 12 to the encryption software on the user

10   terminal 24.

Figure 4 shows an alternative arrangement of the system for secure communication 10 shown in Figure 3. In Figure 4, the Credit card company does not have their own code server 16. The code server 16 is provided at another location and may communicate with the credit card company server 28 via a code network server 30 also connected to

15   the communication network 14. It is foreseeable that some organisations may not be able to justify the expense of their own code server 16 and use an arrangement such as this. The method for communication in this case is the same as previously described with the exception of the communication between the credit card server 28 and the code server 16. In this case, as the information is not being passed over a private

20   connection, the information passing between the credit card company server 28 and the code server 16 must also be encrypted. The credit card company in this case will possess their own personal token 12 or another form of code generation means which can be used in the same manner as described above to encrypt transmissions between the credit card company and the code server 16.

The system for secure communication 10 described will allow any two computers provided with personal tokens 12 or another form of code generation means within a network to communicate securely, in effect providing in effect multiple 'virtual private channels' within any network or communication means irrespective of the

5   network protocol or communication means.

The system for secure communication may also be utilised in order to provided a system for secure storage of data which can be accessed across the communication network. The archiving of data is performed by the use of an archiving code. The archiving code is generated by the code server 16 when the code server 16

10 synchronises with the personal token 12. The archiving code is arranged to change at predetermined intervals in the same manner as the identification and encryption codes 20 and 22 but is known only to the code server 16 and not the personal token 12. The code-server 16 is arranged to store also previous archiving codes used by a user.

The system for storage of data is arranged to store data in an encrypted format on a

15 storage server on the communication network. The files which comprise the stored data are encrypted upon creation or modification using the encryption code which is current at that time. Also provided is a key archive. The key archive comprises a data file which includes details of the encrypted data files and corresponding encryption codes, so that access to the key archive allows decryption of the encrypted data files.

20 The key archive is encrypted with the archiving code.

In use, when a user requires access to the stored data, the user communicates to the code server 16 his username, password, current identification code and a request to access the stored data, which is encrypted with the current encryption code. The code server, on verifying the user's identity, accesses the previous archiving code that was

used to encrypt the user's key archive. The code server 16 then provides this to the user along with the current archiving code for that user. This information is provided using the current encryption code. Once the user has this information, their computer is able to decrypt the key archive and therefore access the stored data. The user then

5   creates or modifies stored data as required, which is encrypted following use with encryption keys which are stored in the key archive. These actions are all performed automatically by software on the user's computer and occur preferably only in the RAM of the user's computer.

When the user has finished their current session, the key archive is encrypted again

10   with the current archiving key which has been supplied by the code server and the key archive and encrypted data files are forwarded to the location for storage. Before ending the session, the identification and encryption and archiving codes are purged from the user's computer memory. The code server then stores the current archiving key overwriting the previous archiving key.

15   It will be appreciated that the key archive and the encrypted stored data may be stored together or separately. Also, it does not matter where in the communication network, the key archive or the encrypted data is stored.

Figure 5 shows alternative embodiments of personal tokens 12 in which a plurality of encryption codes 22 are provided on the personal token 12. In the embodiment shown,

20   two encryption codes 22 are used. The personal token 12 of Figure 5 may be used in a system for secure communication wherein the method of encryption of data utilises both encryption codes 22 for greater security.

Modifications and variations as would be apparent to a skilled addressee are deemed to be within the scope of the present invention.

CLAIMS

1.   A system for secure communication across a communication network characterised by comprising:

5   a personal code generation means having one or more identification codes and one or more encryption codes, the or each identification code and the or each encryption code being arranged to change at predetermined time intervals; and

a code server synchronised with the personal code generation means such that the code server has information regarding the or each current identification code and the 10   or each current encryption code of the personal code generation means;

wherein a user transmits across the communication network, the or each current identification code of the personal code generation means and data encrypted with the or each current encryption code of the personal code generation means and the code server uses the information regarding the or each current identification code to 15   authenticate the user and the information about the or each current encryption code to decrypt the transmitted data.

2.   A system for secure communication in accordance with claim 1 characterised in that the code server communicates to the user following authentication of the user by transmitting data across the communication network to the user encrypted with the 20   or each current encryption code and the user decrypts the data transmitted by the code server with the or each corresponding current encryption code of the personal code generation means.

3.   A system for secure communication in accordance with claim 1 or claim 2, characterised in that the code server stores information including a username assigned

to the owner of the personal code generation means and the username is transmitted across the communication network with the or each current identification code and the data encrypted with the or each current encryption code and the code server uses the username to authenticate the user as the owner of the personal code generation

5   means.

4.   A system for secure communication in accordance with any one of claims 1 to 3, characterised in that the code server stores information including a password assigned to the owner of the personal code generation means and the password is transmitted across the communication network with the or each current identification

10   code and the data encrypted with the or each current encryption code and the code server uses the password to authenticate the user as the owner of the personal code generation means.

5.   A system for secure communication in accordance with any one of claims 1 to 4, characterised in that the personal code generation means comprises a personal

15   portable token.

6.   A system for secure communication in accordance with claim 5, wherein the personal portable token is a pendant.

7.   A system for secure communication in accordance with claim 5, wherein the personal portable token is a card.

20   8.   A system for secure communication in accordance with any one of claims 5 to 7, characterised in that the personal code generation means includes a communication port to communicate the or each current identification code and the or each current encryption code to a user's computer.

9.   A system for secure communication in accordance with any one of claims 1 to 4, characterised in that the personal code generation means comprises software residing on a user's computer.

10.   A system for secure communication in accordance with claim any one of claims 5 to 9, characterised in that the personal code generation means includes a display means, the display means displaying the or each current identification code and the or each current encryption code.

11.   A system for secure communication in accordance with claim 5, characterised in that the personal code generation means comprises a smart card having an initialisation code known to the code server and software residing .on a user's computer, the software being capable of generating the or each current identification code and the or each current encryption code based on the initialisation code and a reference clock, the code server also being capable of generating the or each current identification code and the or each current encryption code based on the initialisation code and the reference clock.

12.   A system for securely accessing data stored in an encrypted form on a storage means accessible by a communication network comprising:

a personal code generation means having one or more identification codes and one or more encryption codes, the or each identification and the or each encryption code being arranged to change at predetermined time intervals;

a key archive associated with the personal code generation means and with one or more data files on the storage means, the key archive having information including the location of the data files and the encryption codes with which each of the data files is encrypted, the key archive being encrypted with an archiving code; and

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a code server synchronised with the personal code generation means such that the code server has information regarding the or each current identification code and the or each current encryption code of the personal code generation means, the code server also having a previous archiving code being the archiving code last used to

5   encrypt the key archive and a current archiving code being arranged to change at predetermined time intervals;

wherein when a user wishes to access the or each stored data file, the user transmits across the communication network, the or each current identification code and data including a request to access the stored data files and the code server uses the

10   information regarding the or each current identification code to authenticate the user and the information about the or each current encryption code to decrypt the transmitted data and the code server communicates to the user the previous archiving code in encrypted form using the or each current encryption code so that the user may decrypt the key archive providing access to the stored data files.

15   13.   A system for securely accessing data stored in accordance with claim 12, wherein when the code server transmits to the user the previous archiving code, the code server also transmits the current archiving code and the user then uses the current archiving code to encrypt the key archive when the user has completed accessing the stored data files and the code server stores the current archiving code as

20   the previous archiving code for future access to the store data files.

14.   A method for securely communicating across a communication network characterised by comprising the steps of:

providing a personal code generation means to a user, the personal code generation means having one or more identification codes and one or more encryption codes, the

or each identification code and the or each encryption code being arranged to change at predetermined time intervals; and

providing a code server and synchronising the code server with the personal code generation means such that the code server has information regarding the or each current identification code and the or each current encryption code of the personal code generation means; and

the user transmitting across the communication network, the or each current identification code of the personal code generation means and data encrypted with the or each current encryption code of the personal code generation means and the code server using the information regarding the or each current identification code to authenticate the user and the information about the or each current encryption code to decrypt the transmitted data.

15. -. A method for securely communicating across a communication network in accordance with claim 14 including the step of the code server communicating to the user following authentication of the user by transmitting data across the communication network to the user encrypted with the current encryption code and the user decrypting the data transmitted by the code server with the corresponding current encryption code of the personal code generation means.

16. A method for securely communicating across a communication network in accordance with claim 14 or claim 15, including the steps of providing the user with a username and password known to the code server and transmitting the username and password across the communication network with the or each current identification code and the data encrypted with the or each current encryption code and the code

server using the username and password to authenticate the user of the personal code generation means.

17.   A method for securely accessing data stored in an encrypted form on a storage means accessible by a communication network comprising the steps of:

5   providing a personal code generation means having one or more identification codes and one or more encryption codes, the or each identification and the or each encryption code being arranged to change at predetermined time intervals;

providing a key archive associated with the personal code generation means and with one or more data files on the storage means, the key archive having information

10   including the location of the data files and encryption keys with which each of the data files is encrypted, the key archive being encrypted with an archiving code; and

synchronising the personal code generation mean with a code server such that the code server has information regarding the or each current identification code and the or each current encryption code of the personal code generation means, the code

15   server also having a previous archiving code being the archiving code last used to encrypt the key archive and a current archiving code being arranged to change at predetermined time intervals;

the user transmitting across the communication network, the or each current identification code and data including a request to access the stored data files

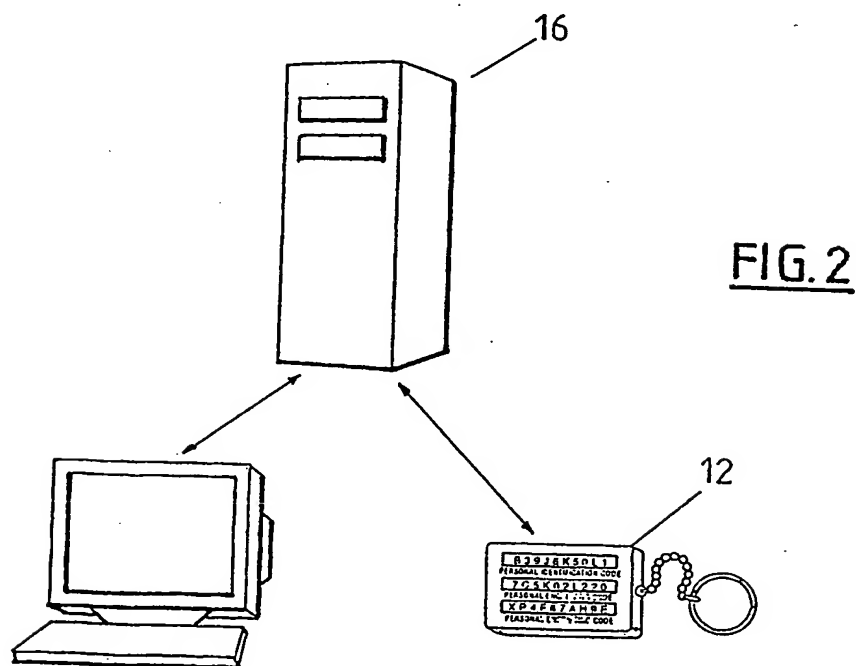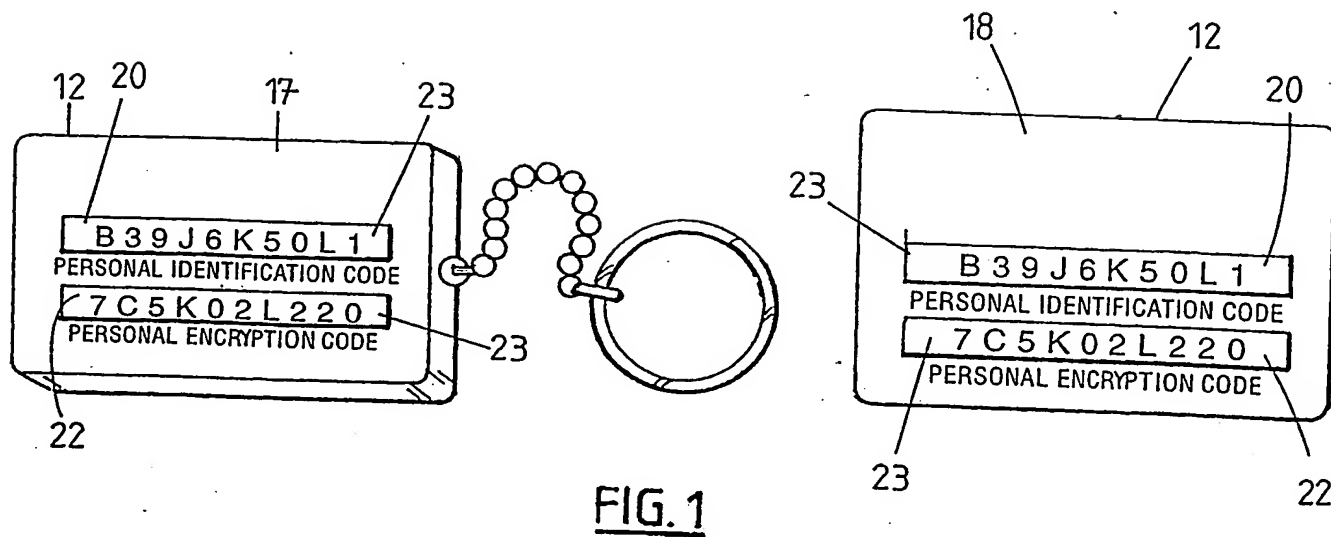20   encrypted with the or each current encryption code;

the code server using the information regarding the or each current identification code to authenticate the user and the information about the or each current encryption code to decrypt the transmitted data and the code server communicating to the user the
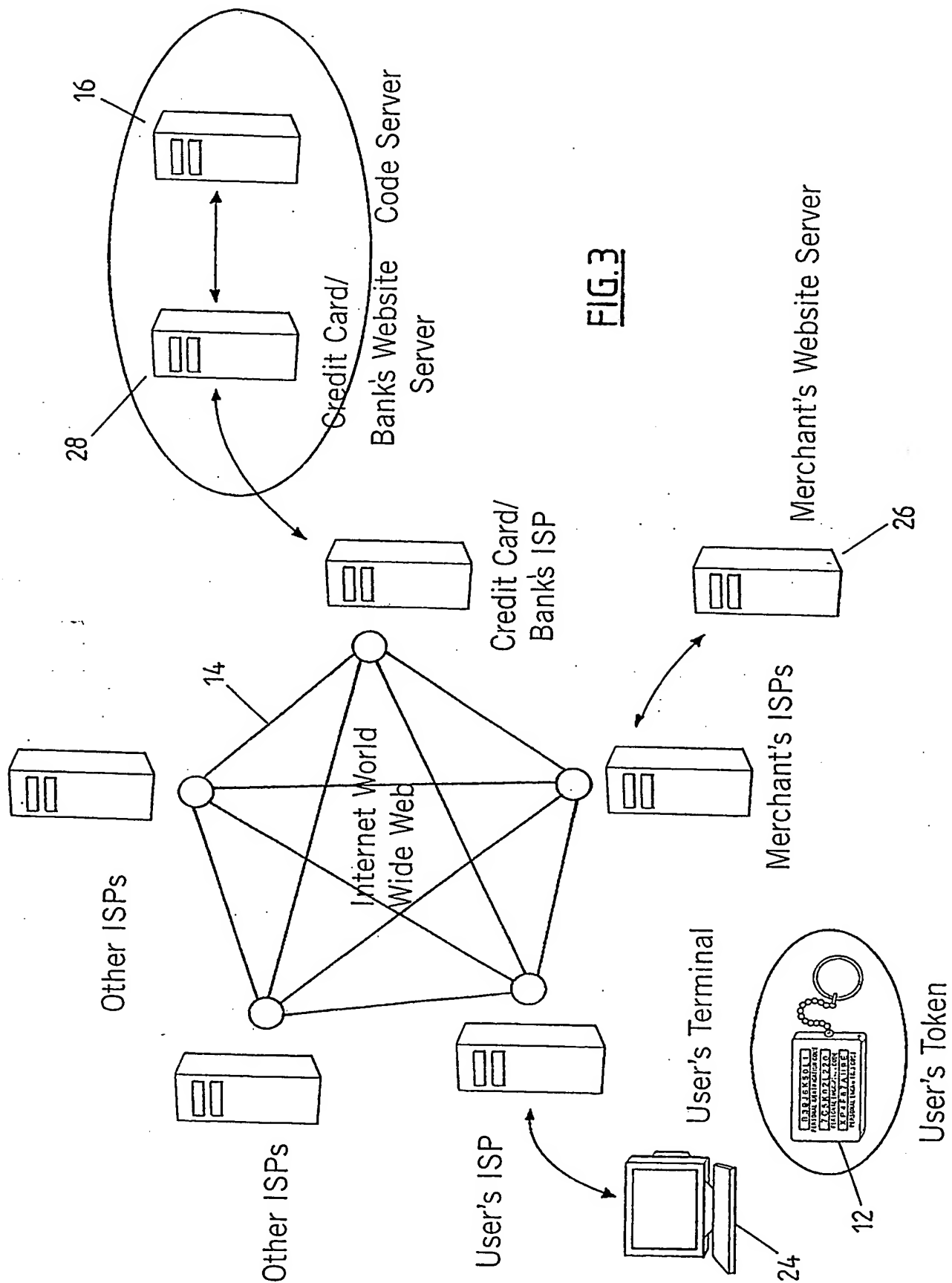
previous archiving code in encrypted form so that the user may decrypt the key archive providing access to the stored data files.

18.   A method for securely accessing data stored in an encrypted form on a storage means accessible by a communication network in accordance with claim 17 including the steps of:

the user using the current archiving code to encrypt the key archive on completing accessing the stored data files; and

the code server storing the current archiving code as the previous archiving code for future access to the store data files.
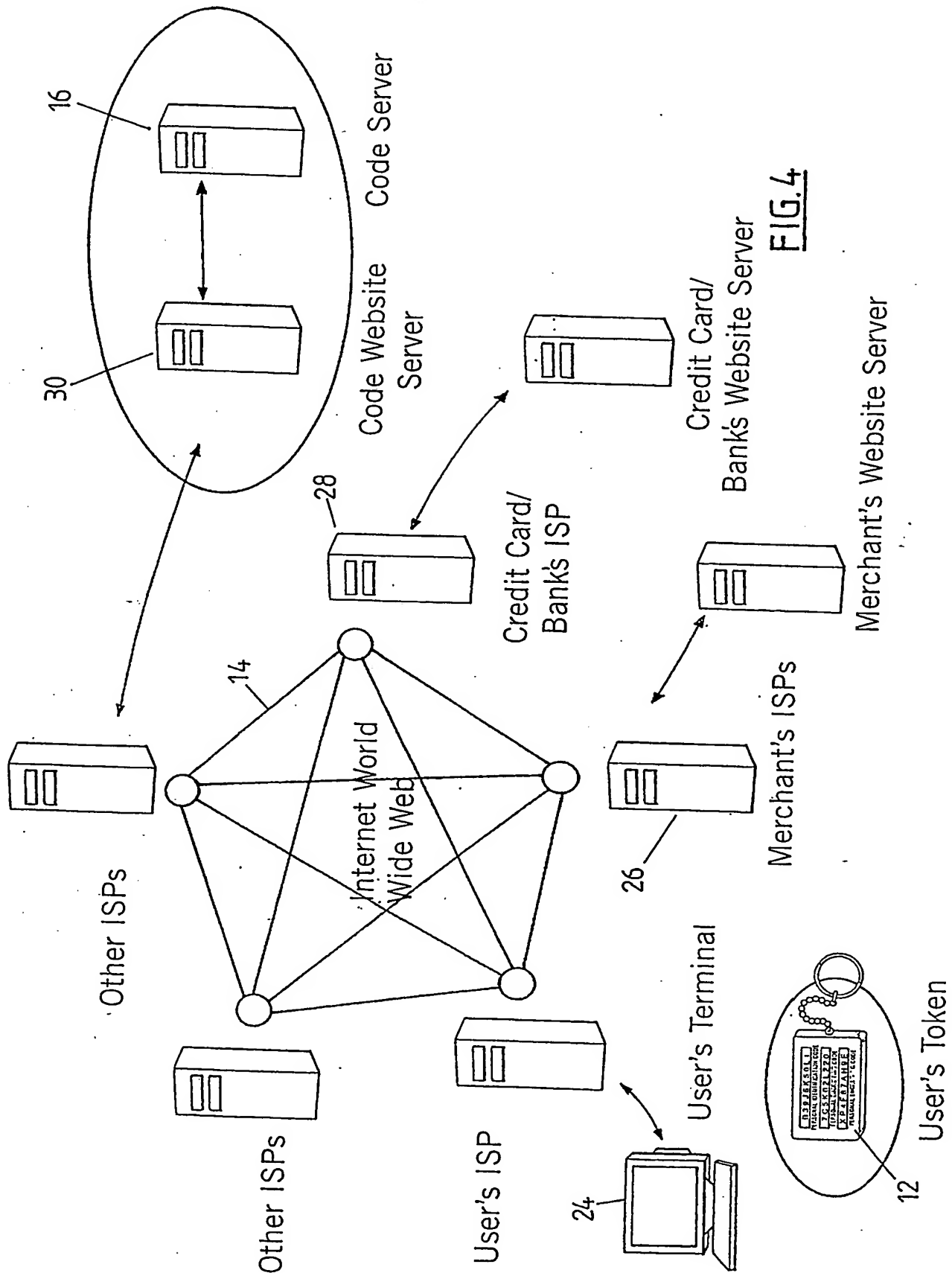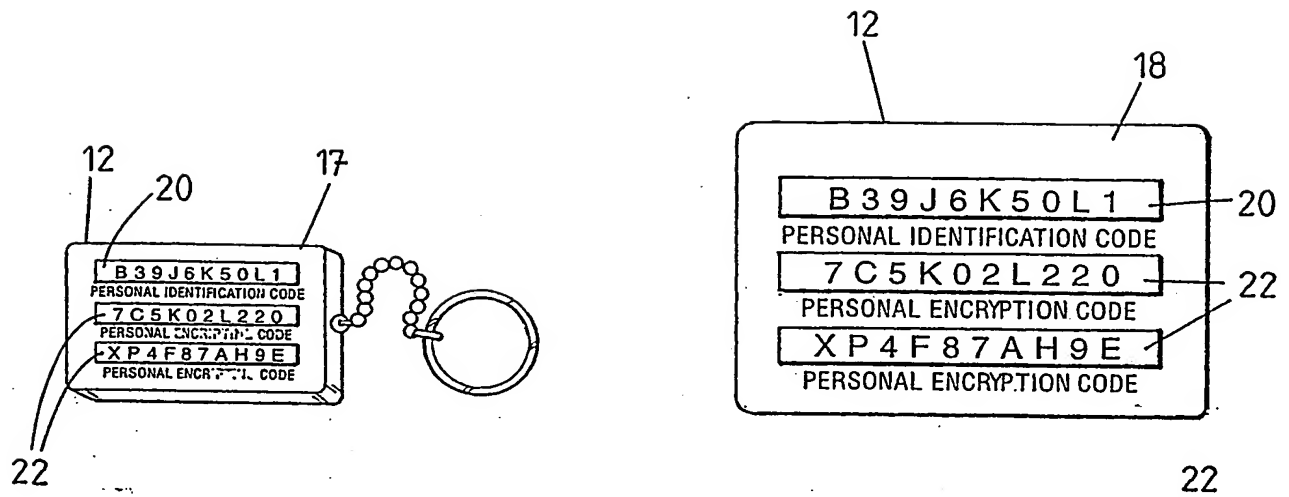
B 3 9 J 6 K 5 0 L 1
PERSONAL IDENTIFICATION CODE
7 C 5 K 0 2 L 2 2 0
PERSONAL ENCRYPTION CODE

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PERSONAL IDENTIFICATION CODE
7 C 5 K 0 2 L 2 2 0
PERSONAL ENCRYPTION CODE

FIG. 1

FIG. 2

10/551003

FIG.3

FIG.4

FIG.5

A.	CLASSIFICATION OF SUBJECT MATTER

Int. Cl. 7:   H04L 9/32, 9/12

According to International Patent Classification (IPC) or to both national classification and IPC

B.	FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
dwpi (ID, identification, encryption, server, network, transmit, authentication, verification)

C.	DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2000/056009 A1 (NEWTON et al.) 21 September 2000 Page 7 - page 23	1-11
X	GB 2338381 A (BARCLAYS BANK PLC) 15 December 1999 Page 3, line 6 - page 4, line 7	1-11
X	WO 1999/046691 A1 (NEWTON et al.) 16 September 1999 Page 5, line 16 - page 8, line 7	1-11
X	US 5953422 A (ANGELO et al.) 14 September 1999 Column 3, lines 1-55	1-11

[X] Further documents are listed in the continuation of Box C   [X] See patent family annex

*	Special categories of cited documents:		
"A"	document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier application or patent but published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 7 May 2004	Date of mailing of the international search report 1 7 MAY 2004
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized officer **J. LAW** Telephone No : (02) 6283 2179

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5771291 A (NEWTON et al.) 23 June 1998 Column 2, line 35 - column 5, line 20, Claim 1	1-11
X	US 5737422 A (BILLINGS) 7 April 1998 Column 2, line11 - column 3, line 50, Claims 1-2	1-11
X	US 5313521 A (TORII et al.) 17 May 1994 Column 6, line 23 - column 8, line 34	1-18

C (Continuation).   DOCUMENTS CONSIDERED TO BE RELEVANT

INTERNATIONAL SEARCH REPORT

· Information on patent family members

International application No.

PCT/AU2004/000388

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member			
WO	0056009	AU	37596/00			
GB	2338381	AU	91757/98	CA	2299294	WO	9964995
WO	9946691	AU	77971/98			
US	5953422	EP	0851335			
US	5771291					
US	5737422					
US	5313521	JP	7288517			

·Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.

END OF ANNEX